



PATENT
Attorney Docket No.: 4329.2566

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
SHINICHI ITO ET AL.
Serial No.: 09/842,403
Filed: April 26, 2001
For: FILM FORMATION METHOD,
SEMICONDUCTOR ELEMENT
AND METHOD THEREOF, AND
METHOD OF MANUFACTURING
A DISK-SHAPED STORAGE
MEDIUM

#3/IDS

8/22/02

AS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

A copy of the listed document is attached.

Applicants respectfully request that the Examiner consider the listed document and indicate that it was considered by making an appropriate notation on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claim in the application and Applicants determine that the cited document does not constitute "prior art" under U.S. law, Applicants reserve the right to present to the Patent Office the relevant facts and law regarding the appropriate status of the document.

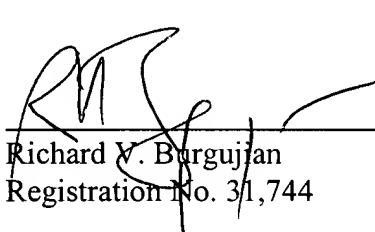
Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

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6/28/01